

R.A. Peterson Associates, LLC

Brochure Supplement

25-Jul-11

Supervised Person

James R. Brown

Firm

R.A. Peterson Associates, LLC
457 York Street
York Harbor, Maine 03911

Phone # 207-351-2500

Business Address

R. A. Peterson Associates, LLC
PO Box 589 York Street
York Harbor, Maine 03911

This Brochure Supplement provides information about James R. Brown and supplements the R.A. Peterson Associates, LLC Brochure. Please contact us, if you if you have any questions about the contents of this supplement at 207-351-2500.

Additional information about James R. Brown is available on the SEC's website at www.adviserinfo.sec.gov

Educational Background and Business Experience

James R. Brown was born in 1960 and has a BA Degree in Economics from Hamilton College in Clinton, New York. Mr. Brown has been employed in the financial services industry since 1985. Mr. Brown joined R.A. Peterson Associates, LLC in January 2011. Prior to January 2011, Mr. Brown was a self-employed financial advisor from Sept 2009 through 2010 including working with various Allstate agents and their customers. Also during 2009, from March through Sept , Mr. Brown was a financial advisor associated with the financial services company, Bulfinch Group, located in Hampton Falls, NH. His affiliation with that organization included securities registered representative status through Park Avenue Securities. From June 2007 until September 2008, Mr. Brown was employed by iCapital, LLC, a wealth management firm, as a Senior Financial Advisor and Director of Financial Planning. From April 2006 until June 2007, Mr. Brown was employed as an Exclusive Financial Specialist with Allstate Financial Services, representing various Allstate agents and their customers.

Disciplinary Information

James R. Brown has not been involved in any legal or disciplinary event from any regulatory body. Mr. Brown has not been involved in any criminal or civil action in a domestic, foreign or military court of competent jurisdiction.

Other Business Activities

James R. Brown is not engaged in any other investment-related business or occupation. Mr. Brown is not actively engaged in any other business or occupation for compensation that provides a substantial source of income or involves a substantial amount of time.

Additional Compensation

James R. Brown has no additional compensation.

Supervision

James R. Brown's advisory activities are supervised by Russell A. Peterson, President of R.A. Peterson Associates, LLC. Phone # 207-351-2500. Mr. Brown's advice to clients is monitored through direct review and approval of all client account agreements. All trading activity is generated from an approved stock listing reviewed regularly by Mr. Brown and Mr. Peterson.

Requirements for State-Registered Advisers

James R. Brown has not been involved in any event resulting in an award or otherwise being found liable in an arbitration claim alleging damages. Mr. Brown has not been involved in any event resulting in an award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding. Mr. Brown has never been the subject of any bankruptcy petition.